



XML Concepts

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Outline

- [Introducing XML
- [XML Fundamentals
- [Document Types Definitions (DTDs)
- [Namespaces
- [Internationalisation
- [XML & CSS
- [DOM & SAX

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Introducing XML

What is XML?

- [A W3C Standard
 - <http://www.w3.org/XML/>
- [A mark-up language for text documents
 - derived from SGML (Standard General Markup Language)
 - ISO 8879, <http://www.iso.ch/cate/d16387.html>
 - eXtensible Markup Language
- [A meta-markup language
 - to define markup languages
 - such as XHTML, XSLT, XML Schema...
- [A formally-defined text-based language
 - verifiable for well-formedness and validity
 - usable across platform and technologies

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What XML is not?

- [XML is not
 - a programming language
 - a network-transport protocol
 - a document presentation language
 - a database (manager)
- [It can be used (and it is actually) in all of those contexts, but it remains a markup language

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Why Markup Languages?

- [Markup
 - encoding embodied in the document, specifying document properties, as well as properties of information contained
 - for instance, formatting instructions
 - more generally, structural / semantic information
 - knowledge vs. data
- [Marks / Markups
 - tag used to qualify / label text chunks
 - e.g., HTML tags
- [XML example

```
<student>
  <studentname>
    <name>Carlo</name>
    <surname>Nervo</surname>
  </studentname>
  <studentnumber>0000145678</studentnumber>
  <course>2036</course>
```

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XML: X for eXtensibility

- Basic idea of XML
 - a simple meta-language for humans and automata
 - to build electronic documents
 - allowing users to define ad hoc markup languages
- Then,
 - XML is quite free, in general
 - it can be "extended"
 - actually, specialised
 - to define more specific ad hoc markup languages
- No predefined XML markups, as it happens instead in HTML
 - they need to be defined
 - who does define them?
 - can we do this? how?

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Hey,

- Application domains are more and more
 - numerous
 - complex
 - specific
- Special / specialised languages as the engineer's tools
 - to represent, denote & express behaviours and computations
- Engineers working with computational / ICT systems will be called to use a number of different artificial languages, but also
 - to know and understand computational models and paradigms
 - to select languages and paradigms
 - to define and build new languages
- "Laurea Specialistica in Informatica"

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XML: Applications

- XML per se is "small" & simple
 - languages defined via XML are instead so many and complex
- XML Applications
 - XML-defined markup languages
 - defined through a precise syntax
 - DTD or XML Schema
 - they may be either standard or custom
- Most standard XML applications are W3C
 - such as
 - XSLT
 - XML Schema
 - XHTML

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XML for Portable Data

- Cross-platform, long-term data format
 - passing XML data through space and time
 - along with Unicode and text-base standard format
- Text, text, text
 - both data and markup
 - all in the XML file
- XML document structure simple & clear
 - easy to parse
 - well-documented
- That is why XML is already everywhere

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How XML Looks like

```
<?xml version="1.0" encoding="utf-8"?>
<docroot>
  <head>
    <title>This is my document.</title>
  </head>
  <body>
    <p>A list of things I like.</p>
    <list>
      <item>weekends</item>
      <item>good beers</item>
      <item>midnight snacks</item>
      <item>ice cream
      <list>
        <item>chocolate</item>
        <item>cookie dough</item>
        <item>white russian</item>
      </list>
      </item>
      <item>shade trees</item>
    </list>
  </body>
</docroot>
```

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How XML Looks like from a



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How to Work with XML

- XML is text
 - so any text-editor is perfectly fine
- A number of XML editors around
 - but typically, general text editors with some programming / Web-oriented capabilities are good enough, and often even better
- Visualisation is a different matter
 - browsers do something
 - but XML is not a presentation language, so...
 - we need to understand
 - what an XML document is
 - how XML works

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What is an XML Document?

- It can be
 - A text file
 - A record in a database
 - A run-time construction in memory
 - ...
- In any case, it can be handled and transmitted by any system capable of dealing with text documents

```
<student>
  <studentname>
    <name>Carlo</name>
    <surname>Nervo</surname>
  </studentname>
  <studentnumber>
    0000145678
  </studentnumber>
  <course>2036</course>
</student>
```

How does XML Work?

- Who handles XML documents?
 - after it has been produced
 - how / why?
- XML parsers
 - devising out the structure of the XML document
 - verifying well-formedness and basic respect of XML syntax
- XML validating parsers
 - when applicable
 - there is either a DTD or a Schema
 - checking validity
- Examples
 - web browsers, word processors, database servers, drawing programs,

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Where is XML actually used?

- Everywhere already.

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Some History of XML & Related

- Lot to be written, still...
- SGML is where it comes from
 - HTML was the first successful application of SGML
 - but had obvious limitations
 - too complex
 - more than 150 pages
 - never implemented fully
 - too complex for the Internet
- SGML "Lite" (1996, Bosak, Bray et al.)
 - XML 1.0 (February 1998)
- Then, a flow
 - namespaces, XSL (then XSLT + XSL-FO), XHTML, CSS integration, XLink + XPointer, XML Schema, DOM, etc.

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XML Fundamentals

A Simple XML Document

```
<player>
  Carlo Nervo
</player>
```

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XML Document & Files

```
<player>
  Carlo Nervo
</player>
```

- This is a complete XML *document*
- It can be stored / recorded / built in the form of a number of different files or even in other forms
 - Carlonervo.xml, player.txt
 - a record in a database
 - a memory area built by a CGI, and then transmitted
 - sent by a Web server, with MIME type application/xml or text/xml

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XML Elements & Tags

```
<player>
  Carlo Nervo
</player>
```

- The document contains a single **element**
 - of type `player`
- Such an element is delimited by the **tag** `player`
 - between **start tag** `<player>` and **end tag** `</player>`
- In between the tags lays the element's **content** `Carlo Nervo`
 - tags are *markup*
 - the most common form of markup, but there are other kinds
 - content is *character data*
 - including the white space between `Carlo` & `Nervo`

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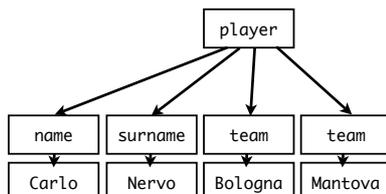
Tag Syntax

- Very similar to HTML tags
 - at least superficially
 - `<tag>` for start tags, `</tag>` for end tags
 - `<tag />` for empty tags
 - tags with no content, like `
` or `<hr />`
- XML is case sensitive
 - so, `<player>` can not be closed by end tag `</Player>`
 - NOTE: thus, pay attention to non-case sensitive technologies when combined with XML
 - HTML, JavaScript & XHTML, ...

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XML Trees: A Simple Example

```
<player>
  <name>Carlo</name>
  <surname>Nervo</surname>
  <team current="yes">Bologna</team>
  <team current="no">Mantova</team>
</player>
```



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An XML Document is an XML Tree

```
<player>
  <name>Carlo</name>
  <surname>Nervo</surname>
  <team current="yes">Bologna</team>
  <team current="no">Mantova</team>
</player>
```

- An XML Document has a tree-like structure
 - one and only one **root**
 - *root element* or *document element*
 - each *node* element can have one or more *child elements*
 - each element has at least one *parent*
 - child elements from the same parent are *siblings*
 - leaves are either content or empty elements
- Well-formedness stems from here
 - `Wrong XML` is not permitted
 - nesting needs to be perfect, overlapping not allowed

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Narrative-Organised XML

```
<biography>
<name><first_name>Carlo</first_name> <last_name>Nervo</last_name></name> was born
somewhere and did nothing really meaningful before becoming a football player.
```

```
After playing many years in minor teams, such as <football_team>Mantova</
football_team>, he finally moved to <football_team>Bologna</football_team>, where
he exploded to become one of the most respected leaders of the team, and also a
member of the <football_team>Italian National Team</football_team>.
```

```
-
</biography>
```

- XML Documents for written narrative, such as articles, reports, blogs, books, novels
 - elements with *mixed content*
 - not easy for automated processing and exchange

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XML Attributes

```
<player>
  <name>Carlo</name>
  <surname>Nervo</surname>
  <team current="yes">Bologna</team>
  <team current="no">Mantova</team>
</player>
```

- Elements can be labelled by **attributes**
 - attributes are specified in the start tag
 - and in the only tag of empty elements
 - any number of attributes can be in principle associated to an element
- An attribute is a name-value pair of the form `name="value"`
 - alternative forms use single quotes instead of double quotes and spaces before / after the "equals" (=) sign
 - only one attribute with a given name allowed per element
- Attributes do not change the tree structures of an XML document
 - but they are qualifiers for the nodes and leaves of the tree

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Using Elements or Attributes?

```
<player>
  <name>Carlo</name>
  <surname>Nervo</surname>
  <team current="yes" value="Bologna" />
  <team current="no" value="Mantova" />
</player>
```

- Attributes are for meta-data about the element, and content is information of the element
 - maybe, but then it is not easy to clearly distinguish between the two
- Element-based structure is more flexible than attribute-based
 - attributes provide for a flat data structure / elements can be nested as needed
 - attributes are unique within an element / any number of elements of the same type can be used within an element
- Attributes are quite useful in narrative-based XML documents
 - where the distinction between elements and attributes is even more blurred
- The answer depends on how data will be accessed and manipulated

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XML Names

- XML **Names** are used and are the same for the *names of elements, attributes* and some other constructs
 - to increase efficiency and abate complexity
- An XML name can include
 - any letter
 - latin or even non-latin, like ideographs
 - any digit
 - underscore, hyphen and period (., -, .)
 - a colon (:) is reserved to namespaces
- An XML name may not include other punctuation signs, nor any sort of white spaces
 - and can begin only with letters, ideographs or underscore

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Parsed Character Data

- An XML Parser interprets the character sequences it is fed with, trying to devise out its tree-like structure
 - so, for instance, '<' always taken as the beginning of a tag
 - what if we need a '<' character in the document, as in a JavaScript code?
- All characters are interpreted as character data to be parsed
 - unless an escape character '&' is encountered
 - character data to parse start again after char '!'

```
E.g., the content of the element
<superheroes>Batman & Robin</superheroes>
becomes the parsed character data
Batman & Robin
```

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Entity References

- `&entityreference;`
 - an *entity* is something defined outside the normal "flow" of the XML document
 - out of the XML tree
 - used for constants, common values, external values, etc.
 - through an *entity reference*
- Users of any sort may define their own entities
 - we'll see how soon, for instance through DTDs

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Pre-defined XML Entities

Markup	Entity	Description
<	<	less-than
>	>	grater-than
&	&	ampersand
"	"	double quote
'	'	single quote

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CDATA Sections

- [Including code chunks from any language with < or " can be tedious
 - we need to say the parser "do not parse this"
 - good for instance to include segments of XML code to show
- [CDATA Section
 - between <![CDATA[and]]>
 - can contain anything but its own delimiters
- [After parsing, no way to tell where a text came from, a CDATA section or not

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Comments

- [Easy!
 - <!-- Comment -->
- [It cannot contain --, nor it can end with --->
- [Comments do not affect the document tree-structure
 - they can appear anywhere, even before the root element
 - but not inside a tag or a comment
- [Parsers may either drop or keep them at their will
- [Comments are meant to improve *human legibility* of XML docs
 - to give info to a computational agents, *processing instructions*

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XML Processing Instructions

- [Need to pass information for a given application through the parser
 - comments may disappear at any stage of the process
- [**Processing instructions** have this very end
 - <?target ... ?>
- [The target may be the application that has to handle, or just an identifier for the particular processing instruction
 - <?php ... ?>
 - <?xml-stYLESHEET ... ?>
- [A processing instruction is markup, not an element
 - it can appear everywhere out of a tag, even before or after the root

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The XML Declaration

- [Looks like an XML processing instruction
 - but it is not: just the XML declaration
- [It is optional
 - but if there, should be the first thing in the document, absolutely
 - not even comments allowed before
- [<?xml version="1.0" encoding="utf-8" standalone="no"?>
- [Version is the XML version (1.0, 1.1, ...)
- [Encoding is the form of the text (Unicode in the example)
 - optional, default Unicode
- [Standalone means that it has no external DTD
 - optional, default "no"

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Checking Well-Formedness

- [Main rules
 - perfect match between start and end tags
 - no overlapping elements
 - one and only one root elements
 - attribute values are always quoted
 - at most one attribute with a given name per element
 - neither comments nor processing instructions within tags
 - no unescaped > or & signs in the character data of elements or attributes
 - ...
- [Tools on the Web
 - Just look around

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DTD

Flexibility or Rigidity?

- XML is flexible
 - whatever this means
 - but sometimes flexibility is not a feature within a given application scenario
- Sometimes, some strict rule is required
 - some control over syntax should be enforced
 - like, a football player should have at least one team
- **Document Type Definition (DTD)**
 - to define which XML documents are *valid*
- Validity is not mandatory as well-formedness
 - how to handle errors is optional

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Validation

- **A valid XML Document** includes a DTD the document satisfies
- **Main principle**
 - everything not permitted is forbidden
 - that is, DTDs specifies *positive* examples
- Everything in the XML document must match a DTD *declaration*
 - then, the document is *valid*
 - otherwise, the document is *invalid*
- Many things a DTD does not say
 - we stick with what we can specify

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DTD is...

- SGML-based
 - syntax a bit awkward
 - but after all easy to understand
 - and quite suited for short and expressive descriptions
- It allows XML designers to define a *grammar* for their documents
 - typical syntax-based approach
 - maybe limited, but easy to implement
- Maybe, DTD is not the future of XML document validation
 - XML Schema should be that
 - but understanding DTDs, how to modify them, how to write your own ones, is likely to be useful or maybe necessary for a while, still

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A Simple DTD Example

```
<?xml version="1.0" standalone="yes">
<!DOCTYPE football_player [
  <!ELEMENT player (name, surname, team+)>
  <!ELEMENT name (#PCDATA)>
  <!ELEMENT surname (#PCDATA)>
  <!ELEMENT team (#PCDATA)>
  <!ATTLIST team current (yes | no) #REQUIRED>
]>
<player>
  <name>Carlo</name>
  <surname>Nervo</surname>
  <team current="yes">Bologna</team>
  <team current="no">Mantova</team>
</player>
```

- We do not go too deep into DTD syntax
 - we just look at the example above, and comment

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DTD Declaration

```
<?xml version="1.0" standalone="yes">
<!DOCTYPE football_player [
  <!ELEMENT player (name, surname, team+)>
  <!ELEMENT name (#PCDATA)>
  <!ELEMENT surname (#PCDATA)>
  <!ELEMENT team (#PCDATA)>
  <!ATTLIST team current (yes | no) #REQUIRED>
]>
<player>
  <name>Carlo</name>
  <surname>Nervo</surname>
  <team current="yes">Bologna</team>
  <team current="no">Mantova</team>
</player>
```

- DTD is declared here as internal
 - but could be declared separately
 - even referring to an external / shared resource
- `<!DOCTYPE football_player SYSTEM "football_player.dtd">`
- `<!DOCTYPE football_player SYSTEM "http://...">`

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DTD Declarations: Define or Use?

- { So, you may
 - define your own DTD, and
 - either include it in your XML document
 - or save it as an independent document, and refer from one or more XML docs
 - or use an external DTD defined by someone else
 - like, a working group you belong to, or a standardisation body of any sort
 - by referring to that externally-defined syntax for your XML docs

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Element Declarations

```
<?xml version="1.0" standalone="yes">
<!DOCTYPE football_player [
  <!ELEMENT player (name, surname, team+)>
  <!ELEMENT name (#PCDATA)>
  <!ELEMENT surname (#PCDATA)>
  <!ELEMENT team (#PCDATA)>
  <!ATTLIST team current (yes | no) #REQUIRED>
]>
<player>
  <name>Carlo</name>
  <surname>Nervo</surname>
  <team current="yes">Bologna</team>
  <team current="no">Mantova</team>
</player>
```

- { A player element contain one name, one surname and one or more teams
 - in that precise order
 - and they are just parsed character data (#PCDATA)

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Some Syntax

- { ", " is for sequence
 - to define ordered lists
- { " | " is for choice
 - to provide for alternatives
- { suffixes
 - "*" for zero or more occurrences
 - "+" for one or more occurrences
 - "?" for zero or one occurrence
- { parenthesis for grouping
 - at any level of indentation
 - operators and suffixes applicable to any level
- { ANY for free-form content

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Attribute Declarations

```
<?xml version="1.0" standalone="yes">
<!DOCTYPE football_player [
  <!ELEMENT player (name, surname, team+)>
  <!ELEMENT name (#PCDATA)>
  <!ELEMENT surname (#PCDATA)>
  <!ELEMENT team (#PCDATA)>
  <!ATTLIST team current (yes | no) #REQUIRED>
]>
<player>
  <name>Carlo</name>
  <surname>Nervo</surname>
  <team current="yes">Bologna</team>
  <team current="no">Mantova</team>
</player>
```

- { A team element has a current attribute
 - which is mandatory
 - #IMPLIED would say optional, instead
 - and can be either yes or no
 - enumeration as an attribute type

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Attribute Defaults

- { #IMPLIED
 - the attribute is optional
- { #REQUIRED
 - the attribute is mandatory
- { #FIXED
 - either it is explicitly specified or not, it has a given value
- { "literal"
 - the default value is the "literal" quoted string

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Attribute Types

- { CDATA
 - any string of text acceptable in a well-formed XML attribute value
- { NMTOKEN, NMTOKENS
 - more than an XML name: anything accepted as the first character
 - the plural form accepts more than one separated by whitespaces
- { ENTITY, ENTITIES
 - name(s) of unparsed entities declared elsewhere in the document
- { ID
 - an XML name unique in the document, working as an identifier
- { IDREF, IDREFS
 - reference(s) to IDs in the documents
- { NOTATION
 - name of a notation used & defined in the document (rare!!)
- { enumeration
 - (value1 | ... | valueN)

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Other DTD Declarations, etc.

- [ENTITY declarations
<!ENTITY footer SYSTEM "http://lia.deis.unibo.it/~ao/footer">
- [NOTATION declarations
 - who cares actually
- [We stop here
 - more only for those who need it

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Namespaces

What are Namespaces for?

- [Distinguish
 - different XML applications may use the same names
 - at any scale, from personal to world-wide
 - a namespace allows them to be clearly distinguished
- [Group
 - names of elements and attributes of the same XML application can be grouped together
 - to be more easily recognised and handled
- [Example: set is an element in both SVG and MathML applications
 - what if I have to use them together?
 - namespaces can be used to disambiguate names

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Syntax for Namespace Use

- [Qualified names
 - prefix : local_part
- [Examples of qualified names
 - or QName, or raw names
 - rdf:description, xlink:type, xsl:template
- [Used for both element and attribute names

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Associating Prefixes to URI

- [Example
 - a large firm could have a number of namespaces for different purposes
 - <company xmlns:local="http://www.company.it/xml/" xmlns:euro="http://www.company.eu/xml/" xmlns:world="http://www.company.com/xml/">
 - >
 - then, you can use local, euro and world everywhere as prefixes
 - typically declared in the topmost element, but could be declared anywhere
 - example: <rdf:RDF xmlns:rdf="http://www.w3c.org/TR/REC-rdf-syntax#">
- [URI are standardised, not prefixes
 - but usually svg, rdf and other prefixes are not re-defined
 - also, they are conventional names

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Setting Default Namespaces

- [xmlns attribute
 - alone, no suffix
 - <svg xmlns="http://www.w3c.org/2000/svg" width="..." height="...">
 - </svg>
 - all the elements inside (including svg) are implicitly associated to the http://www.w3c.org/2000/svg namespace
 - no need for the svg prefix made explicit

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Internationalisation

What does Text Mean?

- [“Text” can be encoded according so many different alphabets
 - mapping between characters and integers (*code points*)
 - *character set*
 - ASCII being the most (un)famous, now Unicode
- [A *character encoding* determines how code points are mapped onto bytes
 - so, a character set can have multiple encodings
 - UTF-8 and UTF-16 are both Unicode encodings
- [Any XML document is a text document
 - so, encoding should be declared

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The XML Encoding Declaration

- [Part of the XML Declaration
 - `<?xml version="1.0" encoding="utf-8" standalone="no" ?>`
- [Most common values
 - utf-8, utf-16 (Unicode)
 - ISO-8859-1 (Latin-1)
- [See also: XML-Defined Character Sets
 - Unicode and ISO are the most used families
- [Used also for external parsed entities
 - like DTD fragments, or XML chunks
 - which may have different encodings
 - there, version may be dropped
 - it is a *text declaration*, but no longer a XML declaration

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Multi-Lingual Documents

- [Example: a spell-checker, or a voice-reader parsing an XML doc
- [How to determine the language of a subpart?
 - for multi-lingual docs
- [`xml:lang` attribute
 - can be associated to any element
 - determines the language of the element
- [Values are to be found in ISO 639
 - standard: two letters for each language known
 - if not there, IANA
 - prefix i-
 - such as i-navajo, i-klingon, ...
 - if not there, too, such as for user-defined tags
 - prefix x-

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Encoding for Portability

- [Working around encoding is not simply an “internationalisation” issue
 - it is also about portability
- [When transmitting / communicating through text-based files, many errors typically occur
 - which are often not easy to catch
- [XML abilities to
 - handle encoding precisely and accurately
 - embody encoding information within each document
- [make it a powerful tool for easy and hassle-free portability
 - across platforms, across applications, across time

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XML & CSS

XML on Browsers

- Different experiences with different browsers
- when trying to visualise an XML document
- XML however can be *transformed*
- to become easier to handle by standard browsers
- Two main approaches
- Web-based one: XML + CSS
- XML-based one: XSL
- In the following we explore the XML + CSS issue

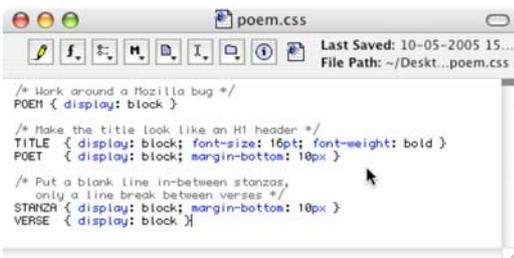
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Cascading Style Sheets

- Cascading Style Sheets (CSS)
- a simple mechanism for adding style (e.g. fonts, colors, spacing) to Web documents
- Standard W3C
- <http://w3c.org/Style/CSS>
- Goals
- describing how to present elements of a document
- spanning over a range of different media
- separating style description from content and structure
- In this course we assume that you already know the basics
- if not, look at <http://www.w3.org/Style/CSS/learning>

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CSS: An Example



```
/* Work around a Mozilla bug */
POEM { display: block }

/* Make the title look like an H1 header */
TITLE { display: block; font-size: 16pt; font-weight: bold }
POET { display: block; margin-bottom: 10px }

/* Put a blank line in-between stanzas,
   only a line break between verses */
STANZA { display: block; margin-bottom: 10px }
VERSE { display: block }
```

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XML + CSS

- Any XML documents can be prepared for browser visualisation via CSS
- Two things needed
- a CSS style sheet referring to the proper elements types of the XML document
- the association between the XML document and the CSS style sheet
- Processing directive
- to associate CSS to XML
- `<?xml-stylesheet type="text/css" href="nomefile.css" ?>`
- CSS style sheet defining presentation style for the XML document tags
- `nometag {`
- `attributo1 : valore1;`
- `...}`
- No need for DTD or Schema

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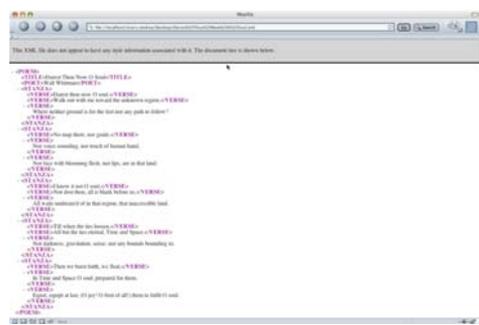
XML + CSS Example: The XML Doc



```
<?xml-stylesheet href="poem.css" type="text/css" ?>
<POEM>
  <TITLE>Darest Thou Now O Soul</TITLE>
  <POET>Milton</POET>
  <STANZA>
    <VERSE>Darest Thou now O soul,</VERSE>
    <VERSE>Darest Thou now O soul, I know not what thou art,</VERSE>
    <VERSE>Darest Thou now O soul, I know not what thou art,</VERSE>
    <VERSE>Darest Thou now O soul, I know not what thou art,</VERSE>
    <VERSE>Darest Thou now O soul, I know not what thou art,</VERSE>
  </STANZA>
  <STANZA>
    <VERSE>I know I know I know I know,</VERSE>
    <VERSE>I know I know I know I know,</VERSE>
    <VERSE>I know I know I know I know,</VERSE>
    <VERSE>I know I know I know I know,</VERSE>
  </STANZA>
  <STANZA>
    <VERSE>I know I know I know I know,</VERSE>
    <VERSE>I know I know I know I know,</VERSE>
    <VERSE>I know I know I know I know,</VERSE>
    <VERSE>I know I know I know I know,</VERSE>
  </STANZA>
</POEM>
```

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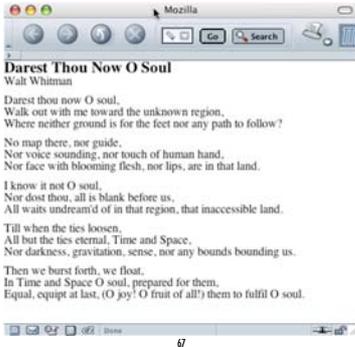
Example: How Mozilla Visualises it



The XML document is displayed in a browser window. The text is rendered with the styles defined in the CSS file: the title is bold and larger, the poet's name is on a separate line, and stanzas are separated by blank lines. The browser's status bar at the bottom shows the file path: "D:\Deskt...poem.css".

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Example: How Mozilla Visualises it



DOM & SAX

Manipulating XML Documents

- [Representing information in an XML Document
 - and presenting it somehow
 - is not enough for most non-trivial application scenarios
- [Mostly, we often need to *manipulate*
 - access, delete, modify
- [parts of an XML document
 - which either may or may not be and XML file
- [This is typically done through programming language of many sorts
 - through ad hoc API
- [The most used / hated / deprecated / widespread are
 - DOM
 - SAX

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Document Object Model (DOM)

- [<http://www.w3.org/DOM/>
 - standard W3C, as usual
- ["The **Document Object Model** is a platform- and language-neutral interface that will allow programs and scripts to dynamically access and update the content, structure and style of documents"
- [It applies to HTML as well as XML
- [It is essentially an API
 - standardised for Java & ECMAScript
 - but can be extended to other languages
- [There is no time here to go deep into DOM
 - we just try to understand its nature, goals and scope

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DOM & Levels

- [DOM views an XML tree as a data structure
 - similar to the DOM from Javascript
- [DOM loads the whole XML document in memory to manipulate it
 - maybe huge memory consumption
- [It is quite large and complex...
 - [Level 1 Core: W3C Recommendation, October 1998
 - primitive navigation and manipulation of XML trees
 - other Level 1 parts: HTML
 - [Level 2 Core: W3C Recommendation, November 2000
 - adds Namespace support and minor new features
 - other Level 2 parts: Events, Views, Style, Traversal and Range
 - [Level 3 Core: W3C Working Draft, April 2002
 - adds minor new features

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DOM Nodes

- [An XML document is a tree
- [The tree contains **nodes**
 - one of them is a **root** node
 - nodes possibly have **siblings**, **children**, one **parent**, content, tag, etc.
- [The DOM specification states that a *node can contain*
 - document, doc. fragment, doc. type, element, attribute, processing instruction, comment, text, CDATA section, entity, notation
- [It also defines which kind of child nodes they should / could have

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Properties & Methods of DOM

- [Every DOM node has **properties** and **methods** to explore and update the XML tree
- [Every DOM node has a **name**, a **value**, a **type**
- [There are general properties and methods for all kinds of nodes
 - attributes returns all the attributes of the node
 - appendChild(newChild) appends newChild after the other child nodes
- [Then, any specific kind of node has its own specific properties and methods
- [These properties and methods are made available by the suitable API for the language of choice
 - many solutions for Java
 - see for instance <http://java.sun.com/xml/jaxp/>

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A Simple Java DOM Fragment

```
public static void main(String[] args) {
    try {
        DOMParser p = new DOMParser();
        p.parse(args[0]);
        Document doc = p.getDocument();
        Node n = doc.getDocumentElement().getFirstChild();
        while (n!=null && !n.getNodeName().equals("recipe"))
            n = n.getNextSibling();
        PrintStream out = System.out;
        out.println("<?xml version='1.0'?>");
        out.println("<collection>");
        if (n!=null)
            print(n, out);
        out.println("</collection>");
    } catch (Exception e) {e.printStackTrace();}
}
```

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Main Problem of DOM

- [The XML document is loaded as a whole and handled altogether in memory
 - it might be time-consuming and difficult to manage
 - wouldn't it be better if we could load only the part we are actually manipulating
- [This is the motivation behind SAX
 - which is not started as a standard
 - has problems of acceptance
 - but has indeed a long tail of followers
 - and also its good reasons to exist

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Simple API for XML (SAX)

- [Differently from DOM, SAX is event-based
- [It sees the document not as a tree, but as a text doc
 - flowing through the SAX parser
 - and generating events as soon as document started / ended, elements started / ended, character content, etc.
- [A very simple model
 - good for simple applications
 - and also to avoid memory abuse
- [Not so well-supported as DOM is
 - in terms of standardisation
 - as well as of tools

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