

# Deriving Enforcement Mechanisms from Policies

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Policy 2007, 14th June 2007, in Bologna, Italy

Deriving  
Enforcement  
Mechanisms  
from Policies

*H. Janicke,  
et.al.*

Motivation

ITL

Policy Rules

Enforcement

Summary

- Policies describe protection requirements in an abstract, often denotational form.
- In security critical applications an unambiguous and concise semantics of policies is required.
- Abstract policies must be translated (interpreted) and enforced.
  
- How to ensure that enforcement mechanisms are *correct*?
- Can we accurately define what *correct* means?
- What optimisation of the enforcement is possible?
- Is the approach constructive and can it be automated?

Deriving  
Enforcement  
Mechanisms  
from Policies

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ITL

Policy Rules

Enforcement

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### Expressions

$e ::= \mu \mid a \mid A \mid g(e_1, \dots, e_n) \mid \bigcirc v \mid \text{fin } v$

### Formulae

$f ::= p(e_1, \dots, e_n) \mid \neg f \mid f_1 \wedge f_2 \mid \forall v \cdot f \mid \text{skip} \mid f_1 ; f_2 \mid f^*$

$\mu$  is an integer value,

$a$  is a static variable (doesn't change within an interval),

$A$  is a state variable (can change within an interval),

$v$  is a static or state variable,

$g$  is a function symbol and

$p$  is a predicate symbol

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Enforcement  
Mechanisms  
from Policies

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ITL

Policy Rules

Enforcement

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Enforcement  
Mechanisms  
from Policies

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ITL

Policy Rules

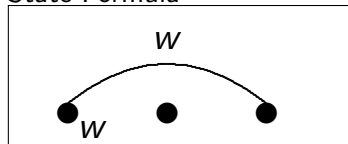
Enforcement

Summary

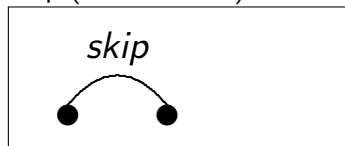
# Interval Temporal Logic

## Informal Semantics

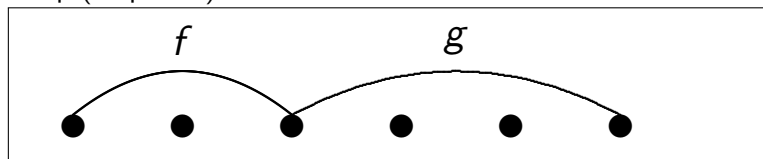
### State Formula



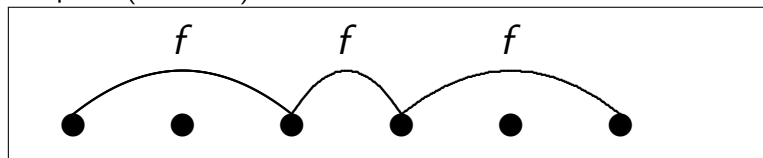
### Skip (Unit Interval)



### Chop (Sequence)



### Chopstar (Iteration)



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Enforcement  
Mechanisms  
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ITL

Policy Rules

Enforcement

Summary

## Policy Rule

Expresses individual protection requirements in the form:

*premise* → *consequence*

- **Premise** describes the behaviour (as an ITL formula) that leads to the consequence.

*“Subject **S** did in the past **read** object **O**”*

- **Consequence** distinguishes the type of the rule.

*“then **S** is authorised to **read** objects from the same dataset”*

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Enforcement  
Mechanisms  
from Policies

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Motivation

ITL

Policy Rules

Enforcement

Summary

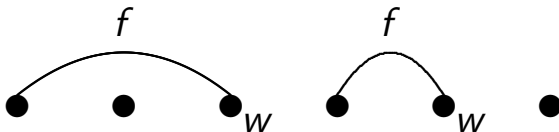
## Definition (Always Followed By)

The operator *always-followed-by*, is defined as:

$$f \mapsto w \hat{=} \Box ((\Diamond f) \supset \text{fin } w)$$

where  $f$  stands for any ITL formula, and  $w$  is a state formula.

$$f \mapsto w$$



Deriving  
Enforcement  
Mechanisms  
from Policies

H. Janicke,  
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Motivation

ITL

Policy Rules

Enforcement

Summary

A policy defines access control decisions  $autho(s, o, a)$  in each state of the interval.

We define the execution of requests such that:

- $done(s, o, a)$  is true iff the action was successful.
- $failed(s, o, a)$  is true iff the action failed.

### Definition (Correct Enforcement — Access Control)

We say a policy is *correctly* enforced iff:

$$E_{autho} \hat{=} \text{keep} (\bigcirc done(s, o, a) \supset autho(s, o, a))$$

Deriving  
Enforcement  
Mechanisms  
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Motivation

ITL

Policy Rules

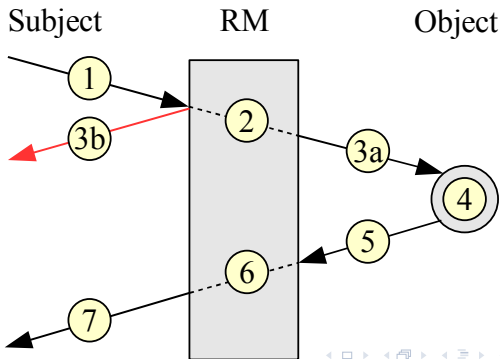
Enforcement

Summary



Rules define *history-based* access control. Their enforcement must:

- Determine the history that is required for policy decisions.
- Maintain this history.
- Optimise enforcement efficiency and decide timely.



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Enforcement  
Mechanisms  
from Policies

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Motivation

ITL

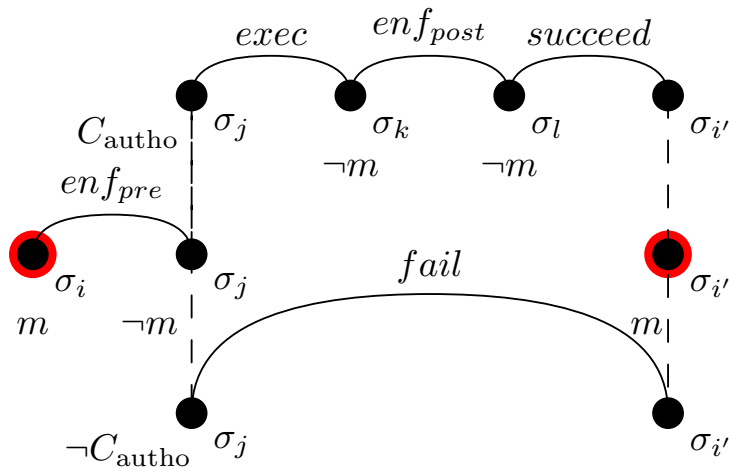
Policy Rules

Enforcement

Summary

# Enforcement

## A Single Request



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Enforcement  
Mechanisms  
from Policies

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Motivation

ITL

Policy Rules

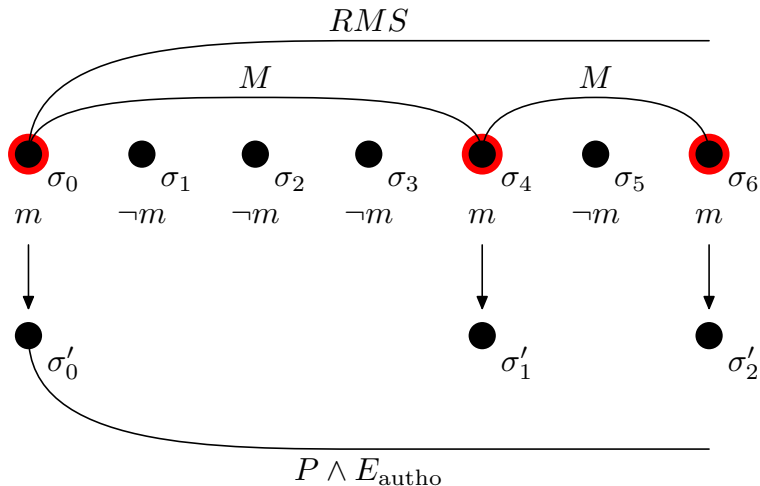
**Enforcement**

Summary

Requests are defined at fine level of temporal granularity.  
Policy enforcement takes place in  $enf_{pre}$  and  $enf_{post}$  and is reflected in the condition  $C_{autho}$ .

# Enforcement

## Mapping between Policies and Enforcement



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Enforcement  
Mechanisms  
from Policies

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Motivation

ITL

Policy Rules

Enforcement

Summary

We use *temporal projection* to map between the more coarse policy reference interval and the fine grained RM specification.

Subject  $s$  is authorised to perform  $a$  on  $o$  if  $s$  was not acting in the role  $admin$  in the state before.

$$1 : \neg in(s, admin) \mapsto autho(s, o, a)$$

We stepwise refine the temporal operators. It is clear that only the current and the last value of the role assignments are required. This allows to refine the pre-update as.

$$enf_{pre} \hat{=} \forall s \in \mathcal{S} \cdot$$

$$H_{in,s,admin}[1], H_{in,s,admin}[0] \leftarrow H_{in,s,admin}[0], in(s, admin)$$

where  $H$  is a list of history variables for the observed subscript.

The (parallel) temporal assignment can be refined into the following sequence:

$$\begin{aligned} \text{enf}_{pre} \hat{=} & \text{ for } s \text{ in } \mathcal{S} : \{ \\ & H_{\text{in},s,\text{admin}}[1] := H_{\text{in},s,\text{admin}}[0]; \\ & H_{\text{in},s,\text{admin}}[0] := \text{in}(s, \text{admin}) \\ & \} \end{aligned}$$

As the relevant history is now available, we can express the actual access decision in terms of these variables.

$$C_{\text{autho}} \hat{=} T \geq 1 \wedge \neg H_{\text{in},s,\text{admin}}[1]$$

- Policies define history-based access control decisions at an abstract level.
- Enforcement defines the concrete mechanism behaviour at a very concrete level of abstraction.
- We use temporal projection to map between this level.
- Correctness of the enforcement is defined as a property on this mapping.
- The different abstraction levels allow for the introduction of states that define code required for the maintenance of a history.
- This code can be derived from the high-level policy specification.
- The formal underpinning allows for (correctness preserving) optimisations.

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Mechanisms  
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Policy Rules

Enforcement

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End

**STRL**

Thank you for your Questions and Comments!

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ITL

Policy Rules

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**Summary**

$\circ f$	$\hat{=}$	$\text{skip} ; f$
more	$\hat{=}$	$\text{skip} ; \text{true}$
empty	$\hat{=}$	$\neg \text{more}$
inf	$\hat{=}$	$\text{true} ; \text{false}$
finite	$\hat{=}$	$\neg \text{inf}$
$\diamond f$	$\hat{=}$	$\text{finite} ; f$
$\square f$	$\hat{=}$	$\neg \diamond \neg f$
$\text{fin } f$	$\hat{=}$	$\square(\text{empty} \supset f)$
$\diamond_i f$	$\hat{=}$	$f ; \text{true}$
$\square_i f$	$\hat{=}$	$\neg \diamond_i \neg f$
$w ? f : g$	$\hat{=}$	$(w \wedge f) \vee (\neg w \wedge g)$

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